

Account Opening at TD Ameritrade using the Account Wizard

Access more learning videos on Veo One in the Education Center located under the Tools & Resource Tab

Features & Benefits

What is the Veo® Account Wizard?

The Veo Account Wizard is an online tool that allows you to submit new account applications, initiate account transfers, and send supplemental forms for new or existing clients.

What are the benefits of using the Veo Account Wizard?

- Receive client account numbers in real-time.
- Pre-populate information for existing clients.
- Save information entered on account applications and resume later to complete.
- Validate data entered in the Veo Account Wizard (and in DocuSign®) against business and data validation rules. This will help reduce not in good order (NIGO) issues.
- Open multiple accounts for the same client during a single session.
- Select and attach TD Ameritrade Institutional new account supplemental forms and pre-populate the forms with basic demographic information.
- Add Non-TD Ameritrade forms (e.g., advisor forms) to an account opening package when sending to your clients for electronic signature.
- Print or electronically send account opening paperwork to your clients for physical or electronic signature.
- Client data entered into the Veo Account Wizard is stored in our internal systems to eliminate future manual data entry, reduce errors and streamline the account opening process.

Do I need an email address for the client even if I am selecting to print the document?

Yes, a valid email address is required to use the Veo Account Wizard.

Why is my client's Social Security number (SSN) required?

When we create client records in our systems the SSN is one of the values necessary to assign the account number.

What if the account segments are not listed correctly for my advisor code?

Please contact your service team, and they can submit a request to modify this for you.

Can the Veo Account Wizard open all accounts types completely electronically?

The Veo Account Wizard can fully open approximately 80% of account types electronically to cover the majority of the business we see. We are continuing to develop the ability to open other account types.

Why am I only asked for some client information when I am opening a Trust account?

We broke up the account types into our top 10 and non-top 10 account types. For the top 10 (most commonly opened) account types, all the data you enter will be stored in our system. For the non-top 10, we will only ask you for basic demographic information so we can save the record and assign you an account number. The rest of the process will be handled outside of the Account Wizard.

tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae - Google Chrome

Not secure | tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae

Topics

- Overview & Benefits of the Account Wizard
 - Overview & Benefits
 - Is the Wizard right for your firm?
 - Top 10 & non-Top 10**
 - Navigation of Wizard Homepage
- Opening a Client Account
 - Open New Account – New Client
 - Open New Account – Existing Client
 - Check Status
- Transfer and Form Request Process
 - Transfers
 - Send Supplemental Forms
- Summary/Resources
 - For More Information
- e-Signature Wizard
 - Getting Set Up/Attaching Forms
- End Client Experience

Top 10 Account Types & non-Top 10 Account Types

Top 10 Accounts

- Individual
- Joint (WROS)
- Joint (TIC)
- Joint (Community Property)
- Joint (Tenants by Entirety)
- Traditional IRA
- Roth IRA
- Rollover IRA
- SEP IRA
- Simple IRA

For these accounts, all the data you enter into the **Account Wizard** will be stored into TD Ameritrade's system.

TD Ameritrade
Institutional

CC BY

◀ ▶ ➡

▶

Type here to search

9:56 AM
9/11/2019

ACCOUNT OPENING PROCESS STEP BY STEP

STEP A

Navigate to the Account Wizard by selecting Account Management:

tdainst.learn.taleo.net/AICC/AICCFrameset.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae - Google Chrome

Not secure | tdainst.learn.taleo.net/AICC/AICCFrameset.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae

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Overview & Benefits of the Account Wizard: Navigation of Wizard Homepage

My View

Assets Under Management

Asset	Market Value	Change (%)	Change (\$)
Mutual Funds	\$85,445,214	0.71%	\$599,395
Equities	\$34,980,626	7.46%	\$2,429,543
Cash Alternatives	\$7,628,126	-	-
Bonds	\$2,760,165	-7.39%	-\$220,121
Options	\$76,346	-319.72%	-\$111,095

Cash Activity

Daily net cash amount: **\$197.0**

Cash In: **\$201,580** | Cash Out: **-\$4,543**

Latest Veo Announcements

No new announcements

There are no new announcements at this time

Today, September 6, 2017

4 of 13

STEP B

From the overview screen select open new account:

The screenshot displays the TD Ameritrade Account Wizard interface within a Google Chrome browser window. The browser's address bar shows the URL: `tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fcf9-4a97-4716-999e-f2b4bf487bae`. The page title is "Overview & Benefits of the Account Wizard: Navigation of Wizard Homepage".

On the left, a navigation menu lists various topics, with "Overview & Benefits of the Account Wizard" selected. The main content area, titled "Account Wizard", features a tabbed interface with "Overview" selected. Under the "Using the Account Wizard" section, the option "Open new account (new or existing client)" is highlighted with an orange box. Other options include "Initiate a transfer for an existing client" and "Send supplemental forms to an existing client".

A green banner at the bottom right of the main content area reads "Access Account Wizard from Veo One." Below the main content, a footer shows the TD Ameritrade logo, a "CC" icon, and a "4 of 13" indicator. The Windows taskbar at the bottom shows the system clock as 9:58 AM on 9/11/2019.

STEP C

The next screen will appear as below:

The screenshot displays the Ameritrade Veo One Account Wizard interface. The left sidebar contains a 'Topics' menu with the following items: Overview & Benefits of the Account Wizard, Is the Wizard right for your firm?, Top 10 & non-Top 10, Navigation of Wizard Homepage, Opening a Client Account (highlighted in blue), Open New Account – New Client (highlighted in blue), Open New Account – Existing Client, Check Status, Transfer and Form Request Process, Send Supplemental Forms, Summary/Resources, For More Information, e-Signature Wizard, Getting Set Up/Attaching Forms, and End Client Experience. The main content area is titled 'Opening a Client Account: Open New Account – New Client'. It features a search bar, a 'My View' section, and a 'Client Center' section. The 'Account Wizard' section is active, showing a progress bar with steps: Overview, Open New Account (highlighted with an orange box), Initiate Transfer, Choose Forms, Check Status, and Laser App/DocuSign Setup. The 'Open A New Account' section includes a radio button for 'New Client' (selected) and a radio button for 'Existing Client'. Below these is a green 'Open A New Account' button. A disclaimer states: 'TD Ameritrade Institutional and DocuSign are separate and unaffiliated, and not responsible for each other's services or policies.' A link 'Want to launch with CRM data?' is also present. The bottom of the screen shows the Ameritrade Institutional logo, a '5 of 13' indicator, and navigation arrows. The Windows taskbar at the bottom shows the search bar, task view button, and various application icons, with the system clock indicating 9:59 AM on 9/11/2019.

At this point the wizard will ask for client information following prompts and enter information.

Once you have completed filling in the client information your document will be directed to DocuSign. (I recommend downloading the application bundle.)

STEP D

DocuSign Process:

Once the wizard opens DocuSign you will have a new window open which will appear as:

Once account created your document will be sent to DocuSign. (I prefer downloading document and upload in DocuSign (due to templates) Please note you may need to add forms for services such as: Move Money Authorization, ClientAccess.com, EFT etc. if you did not add in the Account Wizard-these forms will load into DocuSign blank –(you will need to fill in)

tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F838-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae - Google Chrome

Not secure | tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F838-431F-8069-DF4FDA0349C&courseid=2804&DCT=1&mode=&statusid=272562&ext=1&location=0fd1fc9-4a97-4716-999e-f2b4bf487bae

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 - End Client Experience

DocuSign: Adding Documents to the Envelope

Sign or Get Signatures [NEW](#)

OVERVIEW Last 6 Months

- Action Required -- >
- Waiting for Others 1 >
- Expiring Soon -- >
- Completed -- >

WHAT'S NEW

Comments
Ask and answer questions about documents and receive real-time comment notifications. [More Info](#)

Bulk Send for Multiple Recipients
Include multiple recipients in each envelope. Send to more recipient types and specify envelope custom field values. [More Info](#)

Template Sorting
Sort your templates by name.

MY DOCUSIGN ID [Edit](#)

[Create Your Signature](#)

TIPS AND TRICKS

[Download Our Mobile App](#)
Need to use DocuSign on the go? Sign anything, anywhere, anytime with the DocuSign mobile app. [Download](#)

WE WANT YOUR FEEDBACK

We appreciate your ideas, suggestions, bugs, and even your compliments. [Give Feedback](#)

Want to be the first to try out new features and give feedback on new ideas? [Join Our Customer Panel](#)

HELP AND SUPPORT

- [Support Home](#)
- [Community](#)
- [Trust Center](#)

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STEP E

Once in DocuSign make sure you add BFWM documentation: IAC, ORIA and ABP (if not using template make sure you add Lourdes as a signer.) Also, make sure your client is required to use authentication:

- Click: More and choose Knowledge Base \$ (This will give the proper authentication that TD is looking for Lexis Nexus) otherwise your document will be NIGO'd

The screenshot shows the DocuSign web interface for applying a template to activate authentication. The browser address bar indicates the URL: `tdainst.learn.taleo.net/AICC/AICCFrameset.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6cad3d5`. The interface is titled "Applying a Template to Activate Authentication" and includes a "Please DocuSign: Acct_App" header. The main content area is "Add Recipients to the Envelope". It features a list of recipients: "Client" (NEEDS TO SIGN), "Joint" (NEEDS TO SIGN), and "TDA" (CC RECEIVES A COPY). Each recipient has a "Name" and "Email" field, and a "Knowledge based authentication" option. The "TDA" recipient also has an "Access code authentication" requirement. The interface includes a "Menu" on the left with options like "DocuSign: Sending Pre-Filled Forms (4:40)", "Uploading a Pre-filled PDF", "Applying a Template to Activate Authentication" (selected), "Adding & Removing Recipients", "Adding a Customized Message & Advanced Options", "Previewing the Pre-filled Form", and "Sending the Envelope & Support". The bottom of the interface shows a "CC" button, a "Next" button, and a "Prev" button.

STEP E-1 ADDING BFWM TEMPLATES

You will need to upload the template to your DocuSign login. To upload the template please follow the direction below:

- Save the attached template to your computer.
- Log onto www.docusign.net
- Once you are on the website select the “Template Tab” at the top of the screen.
- Once you select the “Template Tab” on the left hand side there will be several option you will need to select “new” and then select “upload template”.
- Browse for the template that you saved and add.

Google | Our People | TD Ameritrade Insti... | https://vecone.tdainstitutional.c... | Home | DocuSign

app.docusign.com/home

Apps | Imported | Imported (1) | Imported From IE | Saranovitz | American General | 2019 Jeep Cherokee... | 403(b) Plan Search | 43.6286540, -72.93... | 5Q Member Conte... | Allianz Life | Login | Amazon.com: Dorm... | American Equity Inv... | Other bookmarks

Ameritrade Institutional

HOME | MANAGE | TEMPLATES | REPORTS

Sign or Get Signatures **NEW**

OVERVIEW Last 6 Months

Action Required	1
Waiting for Others	2
Expiring Soon	1
Completed	50

WHAT'S NEW

Comments
Ask and answer questions about documents and receive real-time comment notifications. [More Info](#)

Bulk Send for Multiple Recipients
Include multiple recipients in each envelope. Send to more recipient types and specify envelope custom field values. [More Info](#)

Template Sorting
Sort your templates by name.

MY DOCUSIGN ID [Edit](#)

Veronica Cote
veronica.cote@ceteraadvisors.com
Member since 2017

DocuSigned by:
Veronica Cote
C087CCDD8B01481...

ESTIMATED ENVIRONMENTAL IMPACT

You've Reduced Carbon Emissions by 2.0 Million Lb
By using DocuSign instead of paper, TD Ameritrade Institutional has reduced carbon emissions by 2.0 million pounds.
[See your total estimated impact](#)

WE WANT YOUR FEEDBACK
Want to be the first to try out new features and give feedback on new ideas?
[Join Our Customer Panel](#)

HELP AND SUPPORT

- [Support Home](#)
- [Community](#)
- [Trust Center](#)

English (US) | Powered by DocuSign | Contact Us | Terms of Use | Privacy | Intellectual Property | xDTM Compliant | Copyright © 2019 DocuSign, Inc. All rights reserved.

certificate (10).pdf

Type here to search

8:08 AM 11/7/2019

The screenshot displays the DocuSign 'My Templates' page. The browser's address bar shows 'app.docusign.com/templates'. The DocuSign interface features a green header with the Ameritrade Institutional logo and navigation tabs: HOME, MANAGE, TEMPLATES, and REPORTS. A user profile icon is visible in the top right. On the left, a sidebar contains a 'NEW' button, a 'TEMPLATES' section with 'My Templates' selected, and a 'FOLDERS' section with a 'New Folder' button. The main content area, titled 'My Templates', includes a search bar and a table of templates. The table has columns for Name, Owner, PowerForms, Created Date, Last Change, and Folders. One template is listed: 'BFWM IAC APRIL 2019' by Veronica Cote, created on 9/13/2019. A 'USE' button is next to the template name. The footer contains links for English (US), Contact Us, Terms of Use, Privacy, Intellectual Property, xDTM Compliant, and Copyright © 2019 DocuSign, Inc.

Name	Owner	PowerForms	Created Date	Last Change	Folders
☐ BFWM IAC APRIL 2019 Eligible for matching	Veronica Cote		9/13/2019 08:35:37 am	9/13/2019 08:36:55 am	USE

This template will now be available to add to packets sent to clients via DocuSign. You create paperwork that you would like to send to clients via DocuSign within Veo under Account Tools < Account Wizard. You can access a training within the Education Center of Veo.

When you send paperwork into DocuSign you will add the Bright Futures form by selecting “Choose an Online Document” and then select “My Templates and add the Bright Futures Template or whatever you decide to call the template. You can fill in as much of the information that you would like for the client other than client initials and signatures.

STEP F

You can choose to use the templates (these work best if you do not have all your client's personal information to complete the forms. (DocuSign will highlight all required blocks) If you have all your client information, it is recommended to upload the prefilled document (PDF) – This will prevent information overlap. Should you upload your document you will need to remove the template and move to Step J

tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6fcd3d5 - Google Chrome

Not secure | tdainst.learn.taleo.net/AICC/AICCFrameSet.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-F8EC22F4-F83B-431F-8069-DF4FDA0349C&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6fcd3d5

Menu

DocuSign: Sending Pre-Filled Forms (4:40)

Uploading a Pre-filled PDF

Applying a Template to Activate Authentication

Adding & Removing Recipients

Adding a Customized Message & Advanced Options

Previewing the Pre-filled Form

Sending the Envelope & Support

Applying a Template to Activate Authentication

< Please DocuSign: Standard Account Application PDF_Pre-Filled.pdf

ACTIONS

NEXT

Add Documents to the Envelope

Standard Account Application PDF_Pre-Filled.pdf

6 pages

UPLOAD

USE A TEMPLATE

GET FROM CLOUD

Add Recipients to the Envelope

As the sender, you automatically receive a copy of the completed envelope.

1

Name

Email

NEEDS TO SIGN

ADD RECIPIENT

Message to All Recipients

CC

Link

Prev

Next

Knowledge Based Authentication

- Apply the TD Ameritrade template to the form.
- All TD Ameritrade form templates have Knowledge based authentication.
- The recipient(s) must answer a security question when they receive the form(s).

Type here to search

11:43 AM 9/11/2019

STEP G

As mention in step F if you do not have the client's information continue with the temple. If you are uploading a prefilled PDF remember to remove template.

Menu

- DocuSign: Sending Pre-Filled Forms (4:40)
 - Uploading a Pre-filled PDF
 - Applying a Template to Activate Authentication**
 - Adding & Removing Recipients
 - Adding a Customized Message & Advanced Options
 - Previewing the Pre-filled Form
 - Sending the Envelope & Support

Applying a Template to Activate Authentication

Please DocuSign: Standard Account Application PDF - Pre-Filled.pdf

ACTIONS NEXT

Add Documents to the Envelope

Standard Account Application... 6 pages

- Apply Templates
- Replace
- Download Document
- Rename Document
- Delete Document
- View Document

UPLOAD

USE A TEMPLATE

GET FROM CLOUD

Add Recipients to the Envelope

As the sender, you will receive a copy of the completed envelope.

☒ Set signing

1 Name [] NEEDS TO SIGN MORE

Email []

ADD RECIPIENT

Message to All Recipients Advanced Options | Edit

CC Link

Prev Next

STEP H

As mention in step F if you do not have the client's information continue with the temple. If you are uploading a prefilled PDF remember to remove template.

To remove template click on three dots- to display drop down menu click manage applied template. Uncheck the box and select apply selected.

The screenshot displays the DocuSign web interface. On the left, a 'Menu' sidebar lists various actions, with 'Applying a Template to Activate Authentication' highlighted in green. The main area shows the 'Applying a Template to Activate Authentication' dialog box. This dialog has tabs for 'SUGGESTED', 'BROWSE', and 'SELECTED (1)'. Under the 'SUGGESTED' tab, a table lists available templates:

Name	Match	Page Range	Start Page
☐ Account Application (Custodial/UGMA/UTMA Account Only)	95	1 - 6	
☒ Account Application LA	95	1 - 6	1
☐ Account Application	95	1 - 6	
☐ Minor IRA Application	86	1 - 2	
☐ Minor IRA Application LA	86	1 - 2	
☐ Personal Trust Account App LA	81	1 - 1	
☐ Personal Trust Account App	81	1 - 1	

At the bottom of the dialog are 'APPLY SELECTED' and 'CANCEL' buttons. The background interface shows the 'Add Documents to the Envelope' screen, including a list of documents, a section for 'Add Recipients to the Envelope', and a 'Message to All Recipients' field. The bottom of the screen shows the Windows taskbar with various application icons and the system clock indicating 11:45 AM on 9/11/2019.

STEP I

As mentioned in Step F

Click: More and choose Knowledge Base \$ (This will give the proper authentication that TD is looking for Lexis Nexus) otherwise your document will be NIGO'd

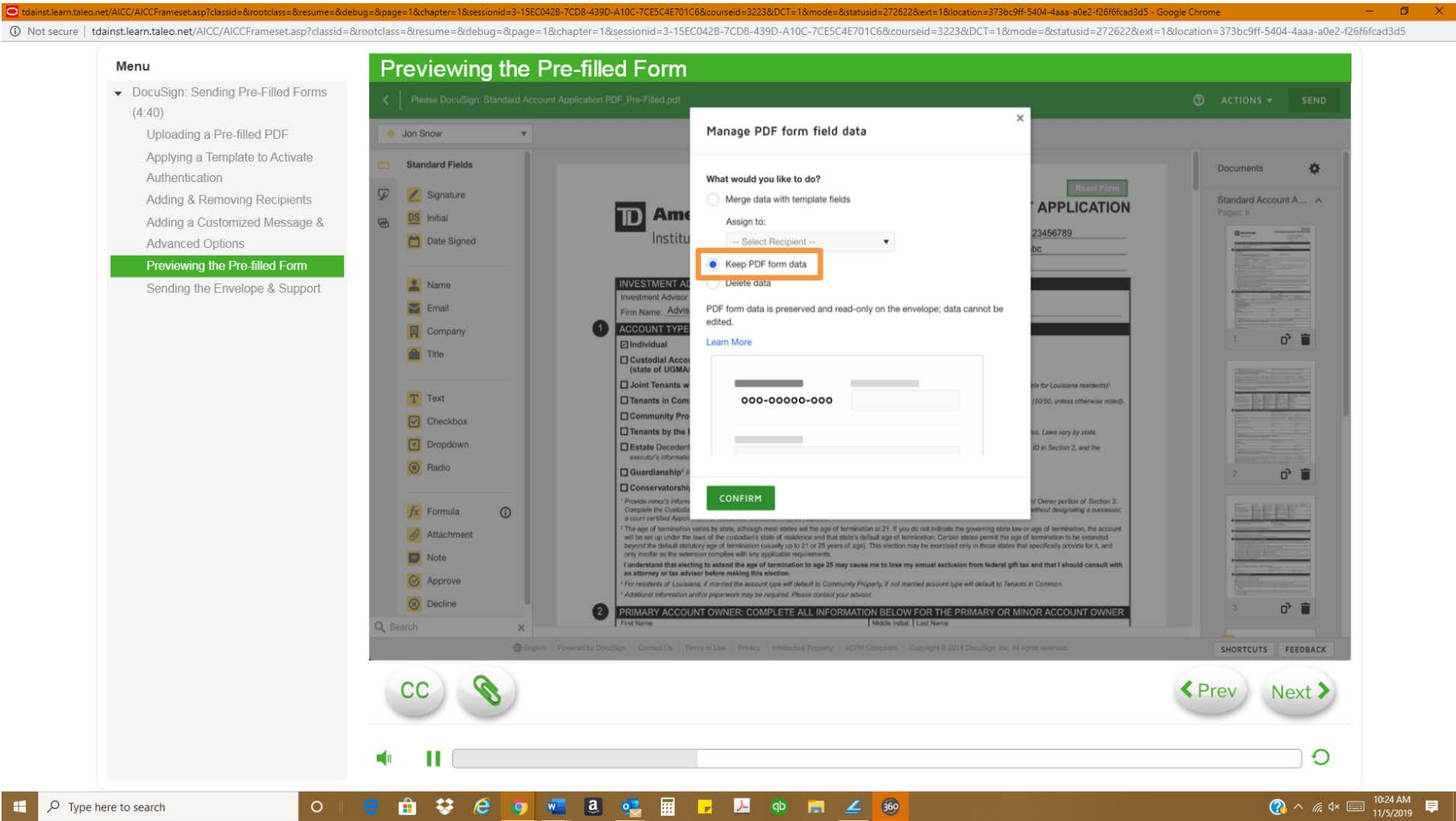
The screenshot displays the DocuSign 'Adding & Removing Recipients' interface. The top navigation bar is green with the title 'Adding & Removing Recipients'. Below this, there's a section titled 'Add Recipients to the Envelope'. The interface shows a list of recipients:

- Client** (ID: 10): Jon Snow, @tdameritrade.com. Status: NEEDS TO SIGN.
- Joint** (ID: 20): Name, Email. Status: NEEDS TO SIGN. This recipient is highlighted with an orange box.
- TDA** (ID: 100): TDA, ztdae-sig@tdameritrade.com. Status: CC RECEIVES A COPY.

The interface includes a menu on the left with options like 'DocuSign: Sending Pre-Filled Forms', 'Adding & Removing Recipients', and 'Adding a Customized Message & Advanced Options'. The bottom status bar shows 'CC', a link icon, and navigation buttons 'Prev' and 'Next'.

STEP J

After you have added all recipients you will preview the forms.



STEP K

If you choose to use a template all initialing and signature areas will be labeled.

If you uploaded your documents, you will need to indicate who and where the client needs to sign or initial.

tdainst.learn.taleo.net/AICC/AICCFrame.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-15EC042B-7CD8-439D-A10C-7CE5CAE701C6&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6cad3d5 - Google Chrome

Not secure | tdainst.learn.taleo.net/AICC/AICCFrame.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-15EC042B-7CD8-439D-A10C-7CE5CAE701C6&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6cad3d5

Menu

DocuSign: Sending Pre-Filled Forms (4:40)

Uploading a Pre-filled PDF

Applying a Template to Activate Authentication

Adding & Removing Recipients

Adding a Customized Message & Advanced Options

Previewing the Pre-filled Form

Sending the Envelope & Support

Previewing the Pre-filled Form

Please DocuSign: Standard Account Application PDF_Pre-Filled.pdf

Jon Snow

146%

Standard Fields

Signature

Initial

Date Signed

Name

Email

Company

Title

Text

Checkbox

Dropdown

Radio

Formula

Attachment

Note

Approve

Decline

What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

Successors and Heirs. This Authorization supplements and in no way limits or restricts rights that TD Ameritrade and the Clearing Firm may have under any other agreement with me. This Authorization will bind my heirs, executors, administrators, successors, and assigns and will benefit TD Ameritrade and the Clearing Firm's successors and assigns.

If I am a U.S. person for tax purposes:
Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number; (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Services (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; (3) I am a U.S. citizen or other U.S. person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

If I have been notified by the IRS that I am subject to backup withholding because I have failed to report all interest and dividends on my tax return, I must cross out (2) in this certification.

If I am not a U.S. Person for tax purposes:
I am submitting the applicable Form W-8 with this form to certify my foreign status.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 14 of the Client Agreement on pages 11 and 12.

12 ACCOUNT OWNER(S) SIGNATURE:

Primary Account Owner's Printed Name: Jon Snow

Primary Account Owner's Signature: 

Date:

Joint Account Owner's Printed Name:

Joint Account Owner's Signature:

Date:

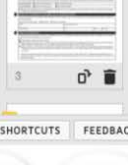
Documents

Standard Account A...

Pages: 6







CC









Prev

Next

English

Powered by DocuSign

Contact Us

Terms of Use

Privacy

Intellectual Property

xDTM Compliant

Copyright © 2018 DocuSign, Inc. All rights reserved.

SHORTCUTS

FEEDBACK

Windows taskbar: Type here to search, 10:25 AM 11/5/2019

Step L

Once all labels have been applied click the “SEND” button. Your documents are now sent to your client for electronic signature. You will receive an email form the DocuSign System for your signature. Once you have completed the document will be routed to the Rochester Office then to TD Ameritrade for account opening. You can tract in VEO One using the status tracker.

The screenshot displays the DocuSign interface for previewing a pre-filled form. The browser address bar shows the URL: `tdainst.learn.taleo.net/AICC/AICCFrameset.asp?classid=&rootclass=&resume=&debug=&page=1&chapter=1&sessionid=3-15EC042B-7CD8-439D-A10C-7CE5C4E701C6&courseid=3223&DCT=1&mode=&statusid=272622&ext=1&location=373bc9ff-5404-4aaa-a0e2-f26f6cad3d5`. The interface includes a menu on the left with options like 'DocuSign: Sending Pre-Filled Forms (4:40)', 'Uploading a Pre-filled PDF', 'Applying a Template to Activate Authentication', 'Adding & Removing Recipients', 'Adding a Customized Message & Advanced Options', 'Previewing the Pre-filled Form' (highlighted), and 'Sending the Envelope & Support'. The central area shows the 'Previewing the Pre-filled Form' window with a green header bar containing a 'SEND' button. The form content includes the TD Ameritrade Institutional logo, account details (Account # 123456789, Advisor Code abc, Case #), and sections for 'INVESTMENT ADVISOR: TO BE COMPLETED BY ADVISOR' and 'PRIMARY ACCOUNT OWNER: COMPLETE ALL INFORMATION BELOW FOR THE PRIMARY OR MINOR ACCOUNT OWNER'. The form includes various fields for Name, Email, Company, Title, Text, Checkbox, Dropdown, Radio, Formula, Attachment, Note, Approve, and Decline. The right sidebar shows a list of documents, including 'Standard Account A...' and 'Pages: 6'. The bottom of the interface features a Windows taskbar with various application icons and a system clock showing 10:25 AM on 11/5/2019.

